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Case Docket No. NAGAT32.001AUS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Matsushita et al.

App. No. : 10/053,231

Filed : January 17, 2002

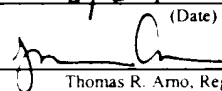
For : METHOD AND APPARATUS
FOR WAVELENGTH
CONVERSION

Group Art Unit : 2633

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(Date)



Thomas R. Arno, Reg. No. 40,490

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on April 11, 2002, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A certified copy of Japanese Application No. 2001-100719 filed March 30, 2001.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.

PATENT


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(X) Fees as calculated below:

FILING FEE	\$ 740
ADDITIONAL CLAIM FEE	\$ 996
MULTIPLE CLAIM FEE	\$ 280
SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL OF ABOVE CALCULATIONS	\$ 2146
TOTAL FEES SUBMITTED HEREWITH	\$ 2146

(X) A check in the amount of \$2146 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Thomas R. Arno
Registration No. 40,490
Attorney of Record

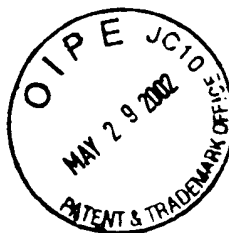
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,231	01/17/2002	Shunichi Matsushita	NAGAT32.001AUS

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 3907

FORMALITIES LETTER



OC000000007844824

Date Mailed: 04/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1276.
 - \$324 for 18 total claims over 20.
 - \$672 for 8 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2146.**

A copy of this notice MUST be returned with the reply.

Deane E. Laba

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE